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PTO-1390 (Rev. 02-2005)

rough 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 06439/HG PPLICATION NO (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 23 FEBRUARY 2005 RIORITY DATE CLAIMED PCT/JP2005/002884 **24 FEBRUARY 2004** TITLE OF INVENTION AMINO ALCOHOL COMPOUND APPLICANT(S) FOR DO/EO/US <u>Takahide NISHI; Toshiyasu TAKEMOTO; Shojiro MIYAZAKI; Takaichi SHIMOZATO; Futoshi NARA; Takashi IZUMI</u> Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. LX The US has been elected (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the international Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. X An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. X A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. 🗀 A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Express Mail Label No. EV 919 339 585 US 20. X Other items or information: DATE OF DEPOSIT: AUGUST 9, 2006 CERTIFIED COPY OF JP 2004-048205 (1) I hereby certify that this paper is being deposited with the United States Postal COPY OF REQUEST FOR PCT/JP2005/002884 Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the (3) COPY OF FORM PCT/IB/301 FOR PCT/JP2005/002884 date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, COPY OF FORM PCT/ISA/237 FOR PCT/JP2005/002884 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 COPY OF FORM PCT/IB/308 (FIRST NOTICE) FOR Nowthy Detunciaco PCT/JP2005/002884

- COPY OF FORM PCT/IB/304 FOR PCT/JP2005/002884
- COPY OF WO 2005/079788A1, THE PUBLISHED APPLICATION OF PCT/JP2005/002884
- REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Dorothy DeFrancesco

IAP1 Rec'd PCT/PTO 09 AUG 2006

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· U.S. APPLICATI	ON NO. (if knowr	1, see 37 CFR 1.	5) INTERNATIONAL A	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
10/5	10/588818 PCT/JP2005/00			02884	06439/HG				
The following fees have been submitted						LCULATIONS		PTO USE C	NLY
21. 🙀 Basic	Basic national fee					300.00			
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)						200.00			
23. X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority						400.00			
TOTAL OF 21, 22 and 23 =						900.00			
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE					
120 - 100 =	20 /50 =		1	x \$250	\$	250.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).							.00		
CLAIMS NUMI		ER FILED	NUMBER EXTRA	RATE	\$				
Total claims	3:	2 - 20 =	12	× \$50	\$	600.00			
Independent claims		-3=	0	× \$200	\$.00		,
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360									
TOTAL OF ABOVE CALCULATIONS =						1750.00			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.									
SUBTOTAL =						1750.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$.00			
TOTAL NATIONAL FEE =						1750.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						40.00			
TOTAL FEES ENCLOSED =						1790.00			
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SEND ALL CORRESPONDENCE TO: When the second states are the international application to pending status.									
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Richard S. Barth Pichard F						h			
Frishaut, Holtz, Goodman & Chick P.C.						11			
220 5TH AVE FL 16 NEW YORK NY 10001-7708 AUGUST 0, 2006 REGISTRATION						UMBER			
AUGUST 9, 2	2006			0.0					

10/588818 IAP11 Rec'd PCT/PTO 09 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Takahide NISHI et al.

Serial No. : To be assigned (U.S. National

Phase of PCT/JP2005/002884)

Filed : Concomitantly Herewith

For : AMINO ALCOHOL COMPOUND

Docket No. : 06439/HG

Customer No.: 01933

REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Dorothy DeFrancesco

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper to Account No. 06-1378.

It is requested that the following assignment information be published as part of the 18-month publication of the present application:

SANKYO COMPANY, LIMITED 5-1 Nihonbashi Honcho 3-chome Chuo-ku, Tokyo 103-8426 Japan

Respectfully submitted,

Richard S. Barth REG. NO. 28,180

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number To be assigned CHANGE OF (U.S. national **CORRESPONDENCE ADDRESS** phase of Application PCT/JP2005/002884) Address to: **Commissioner for Patents** Filing Date CONCOMITANTLY P.O. Box 1450 HEREWITH Alexandria, VA 22313-1450 First Named Takahide NISHI Inventor Group Art Unit **Examiner Name** Attorney Docket Number 06439/HG Please change the Correspondence Address for the above-identified application to: Customer Number [01933] Type Customer Number here OR[] Firm or Individual Name Address Address City State ZIP Country Telephone This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124). I am the: [] Applicant/Inventor. [] Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). [X] Attorney or Agent of record. [] Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number Typed or Printed RICHARD S. BARTH - REG. NO. 28,180 Name Signature Date AUGUST 9, 2006 NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

[x] Total of _

form is submitted.